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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.							
			Application Number	10	0/686,969		
TRAN	SMITTAL	_	Filing Date	0	ct 16, 2003		
F	ORM		First Named Inventor	K	ellner, Charles		
(to be used for all correspondence after initial filing)		Group Art Unit					
			Examiner Name				
Total Number of Page	s in This Submission		Attorney Docket Numb	er M	S1-1683US		
		ENCL	OSURES (chec	k all	that apply)		
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration Extension of Time Reques Express Abandonment Refus Information Disclosure State Certified Copy of Priority Document(s) Response to Missing Part Incomplete Application Response to Missing under 37 CFR 1.52	equest atement Rem	Change Address Termina	to Convert to a small Application of Attorney, Revocation of Correspondence		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Repty Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):		
F:	SIGNATURE O	F APPLI	CANT, ATTORNEY, O	R AG	ENT		
Firm or Individual name Thom	nas S. Wong						
Signature	Signature						
Date	Date 12/18/2003						
	CE	RTIFIC	ATE OF MAILING				
I hereby certify that this correspondence addressed to: Commissioner for Pater					ent postage as first class mail in an envelope 8/03		
Typed or printed name	Wendy A. Saxby						
Signature	1 Dade	DOLL	Di Di	ate	12/18/03		

Burden Hour Statement: This form is estimated to take 0.2 flours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be self-to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL	Compl te if Known			
TEE IRANSWIIIIAL	Application Number	10/686,969		
for FY 2004		Oct 16, 2003		
	First Named Inventor	Kellner, Charles		
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name			
oplicant claims small entity status. See 37 CFR 1.27	A A 11 . 74			

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TOTAL AM	TOTAL AMOUNT OF PAYMENT (\$) 0 Attorney Docket No. MS1-1683US									
METH				FEE	CALCULATION (continued)					
Check	3. Al	DDITI	ONAL	. FEE	S					
Deposit A	Deposit Account:					Large Entity Small Entity				
Deposit			Fee Code			Fee (\$)	Fee Description	Fee Paid		
Account Number	12-0769		1051	130	2051	• • •	Surcharge - late filing fee or oath	100 100		
Deposit Account	Lee & Hayes, PLLC			50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Name The Director is	authorized to: (check all that	apply)	1053	130	1053		Non-English specification			
	` 	edit any overpayments	1812	2,520	1812 2	2,520	For filing a request for ex parte reexamination			
Charge any	additional fee(s) or any underpos	ayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
	(s) indicated below, except for tentified deposit account.	he filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
	FEE CALCULATIO	N	1251	110	2251	55	Extension for reply within first month			
1. BASIC FI			1252	420	2252	210	Extension for reply within second month			
Large Entity S			1253	950	2253	475	Extension for reply within third month			
	Fee Fee Fee Description Code (\$)	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month			
, , ,	2001 385 Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month			
	2002 170 Design filing fe		1401	330	2401	165	Notice of Appeal			
1003 530	2003 265 Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal			
	2004 385 Reissue filing f	ee	1403	290	2403	145	Request for oral hearing			
i 1	2005 80 Provisional filir	ng fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
	SUBTOTAL (1)	(\$) 0	1452	110	2452	55	Petition to revive - unavoidable			
			1453	1,330	2453	665	Petition to revive - unintentional			
2. EXTRA C	CLAIM FEES FOR UTILI	Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)			
	Extra Claims	below Fee Paid	1502	480	2502	240	Design issue fee			
Total Claims Independent	-20** = X		1503	640	2503	320	Plant issue fee			
Claims	3** = X		1460	130	1460	130	Petitions to the Commissioner			
Multiple Deper	nuent		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Fee Fee	<u>Small Entity</u> Fee Fee Fee Descri	ntion	1806	180	1806		Submission of Information Disclosure Stmt			
Code (\$)	Code (\$)		8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1202 18 1201 86	2202 9 Claims in exc 2201 43 Independent of	ess of 20 claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))			
1203 290	• • •	ndent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))			
1204 86	2204 43 ** Reissue ind over origina	dependent claims il patent	1801	770	2801	385	Request for Continued Examination (RCE)			
1205 18		aims in excess of 20 iginal patent	1802	900	1802	900	Request for expedited examination of a design application			
	CUDTOTAL (O)	(\$) ⁰	Other	fee (sp	ecify) _					
**or number	SUBTOTAL (2) r previously paid, if greater; For		*Redu	iced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 0			

(Complete (if applicable)) SUBMITTED BY Registration No. Thomas S. Wong 48,577 Telephone (206) 315-4001 Name (Print/Type) 118/2003 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.	10/686,969
Filing Date	Oct 16, 2003
Inventor	
Applicant	
Attorney's Docket No	MS1-1683US
Title: Systems And Methods For Managing Fra	me Rates During Multimedia
Playback	3

<u>INFORMATION DISCLOSURE STATEMENT AND</u> <u>CERTIFICATION UNDER 37 CFR 1.97(e)</u>

The citations listed may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, no item of information contained in the accompanying PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56 (c) more than 3 months prior to the filing of this statement.

Respectfully Submitted,

Date: 12/18/2003

Thomas S. Wong Reg. No. 48,577

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

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C mplete if Kn wn					
Application Number	10/686,969				
Filing Date	Oct 16, 2003				
First Named Inventor	Kellner, Charles				
Group Art Unit					
Examiner Name					
Attorney Docket Number	MS1-1683US				

	U.S. PATENT DOCUMENTS							
Examiner nitials*	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
7SH/		5,392,396		MacInnis	02-21-1995			
/SH/		5,760,784		Bullis et al.	06-02-1998			
/SH/		6,249,319B1		Post	06-19-2001	·		
SH/		6,321,252B1		Bhola et al.	11-20-2001			
SH/		6,414,960B1		Kuhn et al.	07-02-2002			
/SH/		6,466,216B1		Gotze et al.	10-15-2002			
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	FOREIGN PATENT DOCUMENTS								
Examiner	Cite		Foreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	T	
Initials*	No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶	
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Examiner	/Syed Hasan/	Date	00/47/0000
Signature	/Oyed Hasan/	Considered	06/17/2009

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.